

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09800240-0027

APPLICANT:

Anthony J. McHugh et al

ATTORNEY DOCKET NO. 09800240-0027

SERIAL NO. 09/733,640

EXAMINER: S. S. Gollamudi

INVENTION:

Crystallizable/Non-crystallizable Polymer Composites

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

COMMISSIONER OF PATENTS

Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☐ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☒ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☒ The enclosed credit card payment form to charge the amount of \$180.00 for payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By , Reg. 38,591

Paul E. Rauch, Ph.D.

Sonnenschein, Nath & Rosenthal

P.O. Box 061080

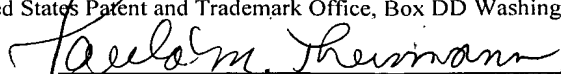
Wacker Drive Station, Sears Tower

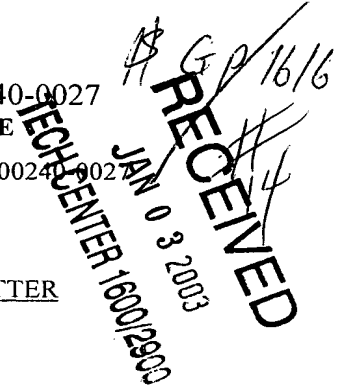
Chicago, Illinois 60606

Telephone (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as in an envelope addressed to the Commissioner of Patents at the United States Patent and Trademark Office, Box DD Washington, DC on December 23, 2002 via first class mail


Paula M. Theismann



IV. EXPLANATION OF RELEVANCE

The above-identified references were cited in European Patent Office Search Report of September 20, 2002 in counterpart PCT Patent Application No. PCT/US01/46742. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 38,591)

Paul E. Rauch

SONNENSCHN NATH & ROSENTHAL

P.O. Box 061080

Wacker Drive Station

Sears Tower

Chicago, IL 60606-1080

Telephone: 312/876-8000

Attorneys for Applicant(s)



TECH CENTER 1600/2900

JAN 03 2003

RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Anthony J. McHugh et al DOCKET NO.: 09800240-0027
SERIAL NO.: 09/733,640 GROUP ART UNIT: 1616
DATE FILED: December, 2000 EXAMINER: S. S. Gollamudi
INVENTION: Crystallizable/Non-crystallizable Polymer Composites

Commissioner for Patents
Washington, DC 20231
S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED US PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
3,880,991	US	April 29, 1975
4,938,763	US	July 3, 1990
5,385,738	US	January 31, 1995
6,130,200	US	October 10, 2000

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
✓WO 94/08623	WO/EP	April 28, 1994
✓WO 99/26556	WO/NZ	June 3, 1999

III. OTHER PUBLICATIONS

✓Dordunoo, S. K., Oktaba, A. M. C., Hunter, W., Min, W., Cruz, T., Burt, H. M.; (February 1, 1997); Release of Taxol from (Epsilon-Caprolactone) Pastes: Effect of Water-soluble Additives; *Journal of Controlled Release*, Vol. 44, No. 1, Pages 87-94.